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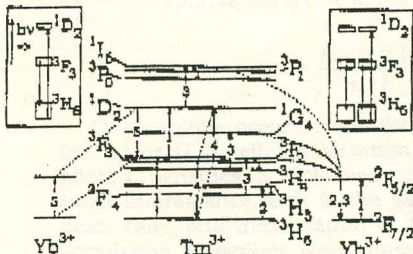
Excitation mechanism of the upper 1D_2 and 3P_0 levels in $\text{Tm:Yb:BaY}_2\text{F}_8$ laser crystal

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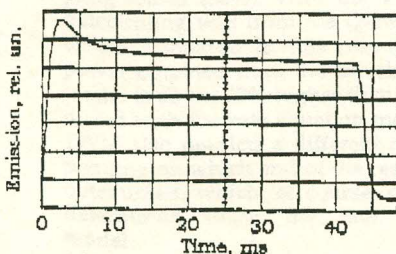
We quantitatively studied upconversion in $\text{Tm:Yb:BaY}_2\text{F}_8$ laser crystal, determined the excitation scheme for the upper 1D_2 and 3P_0 metastable levels, and showed the possibility of avalanche pumping at 706 nm.

The metastable levels 3F_4 , 3H_4 and 1G_4 in $\text{Tm:Yb:BaY}_2\text{F}_8$ are populated via sequential energy transfer from Yb^{3+} (Fig. 1). As was implied by Trash and Johnson,⁵ 1D_2 is populated due to Tm-Tm interaction ($^3F_3 \rightarrow ^3H_4$, $^3F_3 \rightarrow ^1D_2$) and 3P_0 (potential upper laser levels for UV operation⁶) is excited via $^3F_{3/2}\text{Yb} - ^1D_2\text{Tm}$ interaction (Fig. 1). However, small lifetime of 3F_3 and large energy mismatch for $^3F_3 - ^3F_2$ upconversion⁵ made the excitation scheme above questionable.

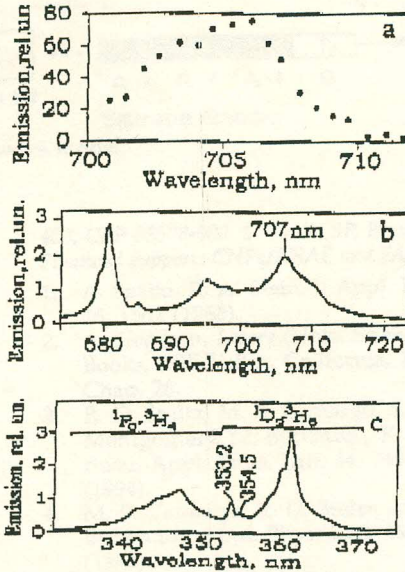
Exciting samples with different dopant concentrations via Yb ions, in the pumping density range ~ 0.1 – 1000 W/cm^2 , we studied luminescence spectra of Tm and Yb at 250–2200 nm, the dependence of the 1F_4 , $^3F_{3/2}$, 3H_4 , 3F_3 , 1G_4 , 1D_2 and 3P_0 luminescence intensity on pumping intensity, and luminescence kinetics of the above levels. At intense square-



CTuL41 Fig. 1 Energy level diagram and main population processes in $\text{Tm:Yb:BaY}_2\text{F}_8$. 1) Absorption; 2) energy transfer from Yb to 3H_4 Tm; 3) energy transfer from Yb to excited Tm ions; 4) interaction of excited Tm ions; 5) cross relaxation; small arrows denote multiphonon relaxation.



CTuL41 Fig. 2 Luminescence kinetics of $^3F_{3/2}$ Yb in $\text{Tm}(0.5\%):\text{Yb}(50\%):\text{BaY}_2\text{F}_8$ at strong direct excitation ($\sim 900 \text{ W/cm}^2$) with rectangular light pulse. The same characteristic shape was observed in the kinetics of 1G_4 and 3P_0 , but not 1D_2 .



CTuL41 Fig. 3 a) Excitation spectrum of 1D_2 luminescence, b) luminescence spectrum of at the transition $^3F_3 - ^3H_4$ and c) luminescence from the levels 1D_2 and 3P_0 at 340–370 nm.

pulsed excitation, Yb luminescence kinetics features a maximum in the beginning of the pumping pulse followed by a slow decay, Fig. 2, determined by efficient $^3F_{3/2}\text{Yb} - ^3F_4\text{Tm}$ upconversion. The same characteristic shape is recognized in kinetics of the levels 1G_4 and 3P_0 , but not 1D_2 .

We conclude that the levels 3H_4 , 1G_4 and 3P_0 are populated via upconversion interaction of Yb and Tm; the level 1D_2 is excited via upconversion interaction of two 3F_3 states. Energy transfer parameters and optimization of ion concentrations are presented.

At red-light pumping, excitation spectrum of upconversion luminescence consists of one peak at $\sim 706 \text{ nm}$ (Fig. 3a). A 707-nm peak of the $^3F_3 \rightarrow ^3H_4$ transition (Fig. 3b) and 353–354-nm lines of the $^1D_2 \rightarrow ^3H_4$ transition (Fig. 3c) imply a resonance for excited-state absorption and upconversion. However, the sum of energies of the lower Stark level of 3F_3 (14586 cm^{-1})⁶ and of 706-nm photon is more than 550 cm^{-1} larger than the energy of the highest known 1D_2 Stark level (28192 cm^{-1}).⁶ Apparently in $\text{Tm:Yb:BaY}_2\text{F}_8$, excited-state absorption involves simultaneous absorption at 706 nm, radiationless relaxation of 3F_3 at $\sim 707 \text{ nm}$, and excitation of 1D_2 at the transition starting from the bottom of the ground state 353–354 nm, (Fig. 1 left insert). Similarly, in the upconversion process, two 3F_3 Tm ions can relax to the ground state at $\sim 707 \text{ nm}$ and one of them get excited to 1D_2 at the transition starting from the bottom of 3H_4 (Fig. 1 right insert).

Under 706-nm excitation, upconversion efficiency increased with the increase of Yb concentration. We explain this effect with feeding 3F_3 via ($^3F_{5/2} \rightarrow ^3F_{7/2}$, $^3F_4 \rightarrow ^3F_2$, 3F_4) upconversion. In fact, excited-state absorption $^3F_3 \rightarrow ^1D_2$, cross relaxation ($^1D_2 \rightarrow ^3F_2 \rightarrow ^3F_3$), $^3F_{7/2} \rightarrow ^3F_{5/2}$,

energy transfer ($^3F_{5/2} \rightarrow ^3H_5 \rightarrow ^3F_4$), and $^3F_{5/2}\text{Yb} - ^3F_4\text{Tm}$ upconversion make a cycle of avalanche pumping (Fig. 1). At weak pumping, a 706-nm two-photon excitation of 1D_2 at has a potential advantage over excitation via Yb, which requires four photons.

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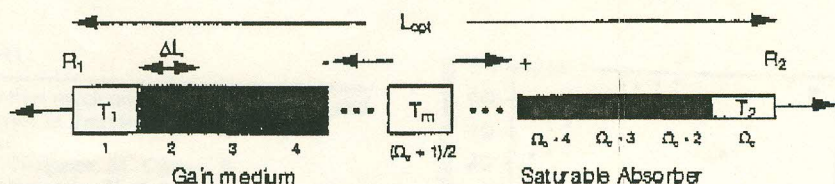
Traveling-wave model for a resonator containing a saturable absorber

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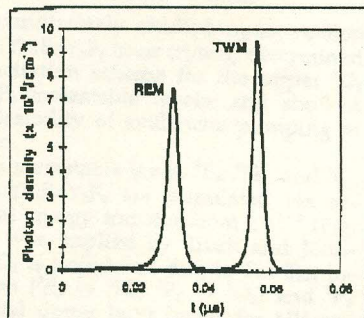
The conventional rate equations for a passively Q-switched laser^{1,2} are sufficient in cases where the cavity losses are relatively low. This is the usually the case with low-gain Er lasers.^{3,4} For high-gain lasers, such as Nd:YAG or Er-doped fibers where outcoupling losses can be high, the simple rate equation model breaks down.⁵ In addition, with the rate equation model, it is not possible to incorporate axial nonuniformities in the photon beam as in the case of a non-plane-parallel resonator, or nonuniformities in the population inversion as in an end-pumped configuration.

In our model, the resonator is divided into Ω_c cells, with $\Delta L = L_{\text{opt}}/\Omega_c$, where L_{opt} is the optical path length of resonator. For simplicity, the gain and absorber media are assumed to each occupy one-half of the resonator, and are divided into an equal number of cells. T_1 , T_2 , T_m are the transmittances of the empty cells and R_1 , R_2 are the resonator mirror reflectivities. During the iteration, the gain, absorber population differences, and positive, negative-going photon densities are updated for each resonator cell. Outcoupling can be from either end of the resonator as shown in Fig. 1.

Equations for the photon densities and population differences were derived, based on the photon transport equation. The appropriate boundary conditions were applied at each end of the resonator. The following equations give the photon density (n_i^+ and n_i^-), and absorber population difference (n_a) rates for the i th cell in the saturable absorber. A similar set of equations were derived for the gain medium.



CTuL42 Fig. 1 Resonator for traveling-wave model.



CTuL42 Fig. 2 Comparison of traveling-wave and rate equation models for case with 80% outcoupling and 70% initial saturable loss.

$$\frac{\Delta n_p^+(i)}{\Delta \tau} = [1 - \Delta L n_s(i) \sigma_s] n_p^+(i-1) - n_p^+(i) \quad (1)$$

$$\frac{\Delta n_p^-(i)}{\Delta \tau} = [1 - \Delta L n_s(i) \sigma_s] n_p^-(i+1) - n_p^-(i)$$

$$\frac{\Delta n_s(i)}{\Delta \tau} = -p_s n_s(i) \sigma_s \Delta L [n_p^+(i) + n_p^-(i)] + \frac{n_{sT} - n_s(i)}{\tau_s / t_c} \quad (2)$$

Outcoupling occurs from the either the 1st or N_m th cell. Initialization of the photon densities is achieved using a small fluorescence term in the gain medium cells, and initialization of the cell population inversions were chosen such that the net round-trip gain was equal to the total initial saturable and nonsaturable losses.

The model is run using MATLAB on a Macintosh Quadra 800. For low outcoupling and nonsaturable losses, the traveling-wave model (TWM) agrees exactly with the rate equation model (REM). Figure 2 compares simulated pulses from both models for a case with high outcoupling losses (80%). With the TWM, the outcoupling was from the Q-switch side of the resonator. In this case the peak power obtained from the traveling-wave model is about 20% higher than that predicted with the rate equation model. The TWM also predicts a different result depending on which end of the resonator is outcoupled, which, of course, cannot be determined using the rate equation model.

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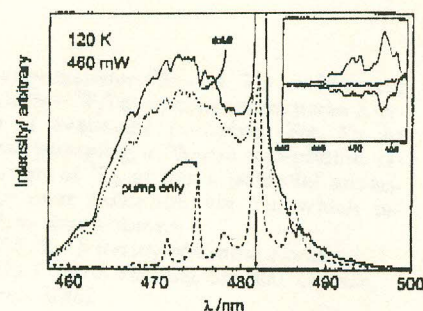
CTuL43

Pump-probe measurement of the upconversion gain in $\text{Tm}^{3+}:\text{YBF}$

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Interest in obtaining solid-state blue and green lasers that can be pumped with available red or near-infrared semiconductor laser diodes has stimulated research activity in upconversion materials. Upconversion pumped laser emission has been previously reported for $\text{Tm}^{3+}:\text{Y}_2\text{BaF}_6$,¹ however, there have been no studies to date on the upconversion gain reported for this material. Previous pump-probe gain measurements performed on $\text{Tm}^{3+}:\text{YLF}$ ² revealed several new features of interest that were not evident from prior spectroscopic and laser cavity experiments, so similar measurements were undertaken for $\text{Tm}^{3+}:\text{Y}_2\text{BaF}_6$ and are reported here.

The experimental arrangement used was the same as that previously reported in Ref. 2. The pump source was at 628 nm from a dye laser operating with

CTuL43 Fig. 1 Upconversion gain spectrum, $I_{\text{pump} + \text{probe}} - I_{\text{pump}}$ (solid line) compared with I_{probe} (dotted line), and I_{pump} (dashed line), of $\text{Tm}^{3+}:\text{Y}_2\text{BaF}_6$ at 120 K for a pump power 460 mW and pump wavelength of 628 nm. Inset shows loss due to excited-state absorption in the $^1D_2 \rightarrow ^3F_4$ transition region of the spectrum.

DCM. We specifically probed the $^1G_4 \rightarrow ^3H_4$ transition at 482 nm. Because of the broad amplified spontaneous emission present in the wings of the probe laser pulses, relatively large spectral regions could be probed simultaneously. As in Ref. 2, we recorded spectra with pump plus probe, probe only, and pump only, and display the gain spectrum by comparing $I_{\text{pump} + \text{probe}} - I_{\text{pump}}$ with I_{probe} . Figure 1 shows such an upconversion gain spectrum at 120 K for a pump wavelength of 628 nm and a probe wavelength centered at 482 nm. We notice that there is a broad gain bandwidth ranging from 460 nm to 490 nm. This observation is in sharp contrast to the results for $\text{Tm}^{3+}:\text{YLF}$, in which case the gain was restricted to the narrow emission lines.

We obtained numerical results for the gain at three different probe wavelength from the areas of $[I_{\text{pump} + \text{probe}} - I_{\text{pump}}] / I_{\text{probe}}$. These values are given in Table 1. We also include the temperature dependence of the gain of the 482.9-nm emission line, which exhibits a curious maximum at some temperature near 160 K, before decreasing to unity (no gain or loss) at higher temperatures (c.a. 200 K). This unusual temperature behavior, and the broad-band gain exhibited over the range of 460-490 nm, may both result from stimulated emission from thermally populated higher Stark levels at higher temperatures. Eventually the thermal

CTuL43 Table 1 Measured Gain for Three Emission Lines in the $^1G_4 \rightarrow ^3H_4$ Manifold of $\text{Tm}^{3+}:\text{Y}_2\text{BaF}_6$.

Probe λ /nm	Pump power T /K /mW @ 628 nm	Gain ratio	α /cm ⁻¹
475.0	400 11.9	1.17±.04	0.31±.07
482.0	460 11.9	1.30±.04	0.52±.06
	450 100.0	1.05±.03	0.10±.07
	450 160	1.40±.04	0.67±.06
	450 200	1.01±.03	0.02±.06
486.0	400 11.9	1.28±.04	0.49±.08